

PATENT
13 Rec'd PCT/PTO 15 APR 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/030162

In re Application of:

Inventor: MODL et al.

Examiner: Not Assigned

Serial No: 10/030,162

Art Unit: Not Assigned

Filed: 30 January 2002

Atty Dkt: MODL3003/JEK

For: METHOD, DEVICE AND SYSTEM FOR AUTHENTICATION OF A
USER AND A TERMINAL

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to Rule 37 C.F.R. §§ 1.51(b), 1.56, 1.97 and 1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith.

**ADDITIONAL DOCUMENTS OR INFORMATION NOT LISTED ON
ACCOMPANYING FORM PTO1449 SUBMITTED FOR CONSIDERATION**

International Search Report dated October 27, 2002 in PCT/EP00/07122 of which this application is the U.S. National Stage.

CONCISE STATEMENT OF RELEVANCY
(NON-ENGLISH LANGUAGE DOCUMENTS ONLY)

With the exception of German references DE 42 31 913 C2 and DE 197 55 092 A1, all of the documents are mentioned in the International Search Report established in the PCT application of which this is the U.S. National Phase. As a courtesy, Applicants submit herewith a copy of the International Search Report along with the references cited therein. The relevancy of the documents mentioned in the PCT application is evident from the International Search Report that is part of the file of this application.

DE 42 31 913 C2 discloses an apparatus for testing the authorization of a person to gain access to a certain device. The access authentication test relates only to the authentication of the user and merely discloses that data are presented on a display and the data being relevant in relation to the business transaction. The respective data relates generally to accessible information such as the account number.

DE 197 55 092 A1 discloses a method of checking a codeword according to a challenge-response method. This type of method is used in order to reciprocally authenticate a data carrier and a terminal.

STATEMENT REGARDING TRANSLATIONS

Translations of the non-English documents are not available to Applicants' Attorney.

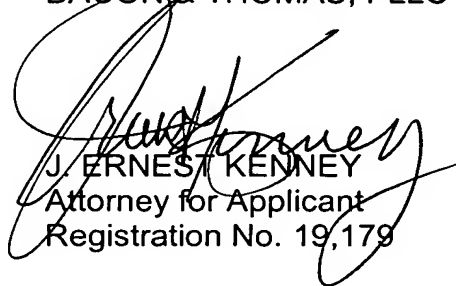
CERTIFICATION

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application, and therefore no fee is necessary.

Serial Number: 10/030,162
Art Unit: Not Assigned

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Respectfully submitted,
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Date: 24 April 2002

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| B/O Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant | Docket Number MODL3003/JEK/JJC | | Serial Number 10/030,162 |
| | Applicant Albert MÖDL et al. | | |
| | Filing Date January 30, 2002 | Group Not Assigned | |
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U.S. Patent Documents

| Examiner Initial | Document Number | Date | Patentee/Applicant | Class | Subclass | Filing Date if Appropriate |
|------------------|-----------------|-----------|--------------------|-------|----------|----------------------------|
| | 5,208,447 | May 1993 | Kruse | | | |
| | 5,239,166 | Aug. 1993 | Graves | | | |
| | 5,721,781 | Feb. 1998 | Deo et al. | | | |
| | | | | | | |

Foreign Patent Documents

| Examiner Initial | Document Number | Publication Date | Country/Agency | Class | Subclass | Translation | |
|------------------|-----------------|------------------|----------------|-------|----------|-------------|----|
| | | | | | | Yes | No |
| | 0875868 | Apr. 1998 | EPO | | | | |
| | DE 4231913 | Jan. 1994 | Germany | | | | X |
| | DE 19755092 | June 1999 | Germany | | | | X |
| | | | | | | | |

Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

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| Examiner | Date Considered |
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EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.